

12-28-01

PTO/SB/05 (11-00)

Approved see through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Unice: U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 17726A-000420US

First Inventor Lee, Wen-Hwa

Title PRODUCTS AND METHODS FOR CONTROLLING THE SUPPRESSION OF THE NEOPLASTIC PHENOTYPE

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Express Mail Label No. | EL 769989433 US

See MIFEP chapter 600 concerning design patient application contents. X Fee Transmittal Form (e.g., PTIOSRIT) (Submit an original and a displicate for fee processing)	APPLICATION ELEMENTS	ASSISTANT Commissioner for Patents						
Computer Program (Appendix)	See MPEP chapter 600 concerning design patent application contents. Washington, DC 20231							
or in an Application Data Sheet under 37 CFR 1.76: X Continuation	1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. Applicant claims small entity status. See 37 CFR 1.27. 3. X Specification [Total Pages 121] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. X Drawing(s) (35 U.S.C.113) [Total Sheets 7] 5. Oath or Declaration [Total Pages 1] a. Newly executed (original or copy) b. X Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper number of pages c. Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS 9. Assignment Papers (cover sheet & document(s)) 10. 37 C.F.R.§3.73(b)Statement Power of (when there is an assignee) Attorney 11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations 13. X Preliminary Amendment 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 17. X Other: Exhibits to Statement of Inventorship of Dr. Theodore Friedmann and Dr. Jiing-Kuan Yee dated November 30, 2001; Rebuttal to Comments of Lee et al. Regarding the Statement of Inventorship of Dr. Friedmann and Dr. Yee, dated December 18, 2001; Comments of Lee et al. attaching CV of Lee,						
X Continuation		the requisite information below and in a preliminary amendment,						
Prior application information: Examiner		(CIP) of prior application No: 08/472,760, filed June 5, 1995						
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label 20350	Prior application information: Examiner	Group Art Unit:						
19. CORRESPONDENCE ADDRESS ☐ Customer Number or Bar Code Label Customer No. or Attach bar code label here) Correspondence address below Country	under Box 5b, is considered a part of the disclosure of the accompanyi	ng continuation or divisional application and is hereby incorporated by						
Customer No. or Attach bar code label here								
Address City State Zip Code Country Telephone Fax								
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Name (Print/Type) Kevin Bastian Registration No. (Attorney/Agent) 34,774								
Signature: Typ								

TOTAL AMOUNT OF PAYMENT

Attorney Docket No.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

992

Complete if Known					
Application Number					
Filing Date	December 21, 2001	·			
First Named Inventor	Lee, Wen-Hwa				
Examiner Name					
Group Art Unit					
Attorney Docket No.	17726A-000420US				

METHOD OF PAYMENT						FEE CALCULATION (continued)							
The Commissioner is hereby authorized to charge			3. ADI	3. ADDITIONAL FEES									
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							127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
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Account Townsend and Townsend and Crew LLP 139 Name 147									147	2,520	For filing a request for reexamination		
	Charge An Under 37						112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
	Applicant of See 37 C	claims sr	mall entit				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
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101	740	201	370	Utility filing fee	ļ	740	121	280	221	140	Request for oral hearing		
106 107		206 207	165 255	Design filing fee Plant filing fee	}		138	1,510	138	1,510	Petition to institute a public use proceeding		
108		208		Reissue filing fee	<u> </u>		140	110	240	55	Petition to revive - unavoidable		
114		214	80	Provisional filing	F		141	1,280	241	640	Petition to revive – unintentional		
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Fee	Fee	Fee	Fee	•	tion	•					Filing a submission after final rejection (37 CFR § 1.129(a))		
Code	(\$)	Code	(\$)	•			149	740	249	370	For each additional invention to be		
103	18	203	9	Claims in exc		06 2	İ				examined (37 CFR § 1.129(b))	\vdash	
102	84	202	42 140	Independent			179	740	279	370	Request for Continued Examination (RCE)		
104 109	280 84	204 209	42	** Reissue ind	Multiple dependent claim, if not paid ** Reissue independent claims over				169	900	Request for expedited examination of a design application		
110	18	** Reissue claims in excess of 20 and Other fee (specify)											
				over original p	patent		The Commissioner is authorized to charge any additional fees to						
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*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)													

SUBMITTED BY				Co	omplete (if applicable)	
Name (Print/Type)	Keyin Bastian	Registration No. (Attorney/Agent)	34,774	Telephone	415-576-0200	
Signature	Br Da	t		Date	12/21/01	

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			Applic	ation Number				
TRANSMITTAL FORM			Filing	Date	12/21/01			
			First Named Inventor					
(to be used for all correspondence after initial filing)			Group	Art Unit	Unknown			
			Exami	ner Name	Unknown			
Total Number of Pages in This	Submission	435	Attorne	ey Docket Number	17726A-000420US			
ENCLOSURES (check all that apply)								
Fee Transmittal Form			ment Pap Application		After Allowance Commu Group	inication to		
Fee Attached		Drawin	g(s)	,	Appeal Communication Appeals and Interference			
Amendment / Response		Licensi	ng-relate	d Papers	Appeal Communication (Appeal Notice, Brief, Reply			
After Final				Slip (PTO/SB/69) ing Petition	Proprietary Information			
Affidavits/declaration(s)		to Convo		Status Letter			
Extension of Time Reque	st			ey, Revocation espondence Address	Other Enclosure(s) (please identify below):			
Fypress Abandonment Request			al Disclai st for Ref		Return Postcard; Fee Trans copies); Utility Pat. App. Co 05; copy of spec. claims an	ver Sheet SB/ nd executed		
Information Disclosure Sta	CD, Nu	mber of (Declaration as filed in paren sheets of figures; Application	n Data Sheet.			
Certified Copy of Priority Document(s)		Rema	rks	The Commissioner is a Deposit Account 20-14	uthorized to charge any addit 30.	tional fees to		
Response to Missing Part Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	s/	November of Lee et al	30, 2001 I. dated D	Comments of Lee et al.	ventorship of Friedmann and attaching CV of Lee; Rebutta Statement of Inventorship of l ry Amendment.	al to Comments		
				ANT, ATTORNEY, O	RAGENT			
1 ''''	Bastian	ownsend and Crew LLP Reg. No. 34,774						
Signature								
Date 12/2 //01								
CERTIFICATE OF MAILING								
Express Mail Label: EL 769989433 I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date 12/21/01 and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231								
Typed or printed name Keyln Bastian								
Signature	Signature Date 12/21/01							

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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